

# Decision Methods for Concurrent Kleene Algebra with Tests : Based on Derivative

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- Concurrent Kleene Algebra with Tests(CKAT) is introduced in RAMiCS2014[Jipsen 2014]
- We give decision methods for CKAT(based on Derivative).
- Additionally, considering the computational complexity of CKAT.(in EXPSPACE)

- CKAT is Kleene Algebra(KA) with
  - ▶ Boolean Test(derived from KAT[Kozen and Smith 1996])
  - ▶ Concurrent Operator  $\parallel$  (related to Concurrent KA[Hoare et al. 2009])
- Each CKAT term is an expression of *guarded series-parallel language*.

# Guarded series-parallel language(gsp language)

## definition (gsp string)

The gsp strings set is a smallest set s.t.

- $\alpha$  is a gsp string
- $\alpha_1 \mathbf{p} \alpha_2$  is a gsp string
- if  $w_1$  and  $w_2$  are gsp strings, then  $w_1 \diamond w_2$  is a gsp string
- if  $w_1$  and  $w_2$  are gsp strings, then  $w_1 \parallel w_2$  is a gsp string

Where  $\alpha$  is a subset of basic tests and  $\mathbf{p}$  is a basic programs.

$$\text{Concatenation } w_1 \alpha \diamond \alpha' w_2 = \begin{cases} w_1 \alpha w_2 & (\alpha = \alpha') \\ \text{undefined} & (o.w.) \end{cases}$$

Parallel Composition

$$w_1 \parallel w_2 = \begin{cases} \alpha_1 \{|w'_1, w'_2|\} \alpha_2 & (w_1 = \alpha_1 w'_1 \alpha_2, w_2 = \alpha_1 w'_2 \alpha_2) \\ \alpha & (w_1 = w_2 = \alpha) \\ \text{undefined} & (o.w.) \end{cases}$$

# gsp language

## definition (gsp language $L(p)$ )

- $L(p_1 \parallel p_2) = \{\alpha_1\{|w_1, w_2|\}\alpha_2 \mid \alpha_1 w_1 \alpha_2 \in L(p_1), \alpha_1 w_2 \alpha_2 \in L(p_2)\}$

(the other cases)

- $L(b) = \{\alpha \mid b \in \alpha\}$  for any boolean term  $b$
- $L(\mathbf{p}) = \{\alpha_1 \mathbf{p} \alpha_2 \mid \alpha_1, \alpha_2 \text{ are the subset of basic tests}\}$
- $L(p_1 + p_2) = L(p_1) \cup L(p_2)$
- $L(p_1 p_2) = \{\alpha_1 w_1 \alpha_2 w_3 \alpha_3 \mid \alpha_1 w_1 \alpha_2 \in L(p_1) \text{ and } \alpha_2 w_3 \alpha_3 \in L(p_2)\}$
- $L(p^*) = \cup_{n < \omega} \{\alpha_0 w_1 \alpha_1 \dots w_n \alpha_n \mid \alpha_{i-1} w_i \alpha_i \in L(p)\}$
- $(L(P) = \cup_{p \in P} L(p) \text{ for any CKAT term set } P)$

## example: $T = \{\mathbf{t}_1, \mathbf{t}_2\}$

- $L(\mathbf{t}_1 a \parallel \mathbf{t}_2 b \mathbf{t}_1) = \{T\{|a, b|\}T, T\{|a, b|\}\{\mathbf{t}_1\}\}$
- $L(\mathbf{t}_1 a \parallel \mathbf{t}_1) = \emptyset$
- $L(\mathbf{t}_1 \parallel \mathbf{t}_1) = \{\{\mathbf{t}_1\}, T\}$

# Derivative

- Derivative is first introduced by Brzozowski[Brzozowski 1964] for Kleene Algebra.
- Derivative has many applications to many language theoretic problems, for example
  - ▶ membership problem
  - ▶ emptiness problem
  - ▶ equivalence problem
  - ▶ ... and so on
- Derivative  $D_w$  is aimed to satisfy  $w^{-1}L(p) = L(D_w(p))$ .
  - ▶  $w^{-1}$  is a left quotient by  $w$ .
  - ▶  $w^{-1}L(p) = \{w' \mid w \diamond w' \in L(p)\}$
  - ▶ e.g.  $(TaT)^{-1}\{TaT, TaTbT, TbT, TbTbT\} = \{T, TbT\}$
- We give the derivative for CKAT in the next page.

# Naive Derivative for CKAT

definition (Naive Derivative  $D_w(p)$ )

- $D_{\alpha\mathbf{q}\alpha'}(p_1 \parallel p_2) = \emptyset$
- $D_{\alpha\{|w_1, w_2|\}\alpha'}(\mathbf{p}) = \emptyset$
- $D_{\alpha\{|w_1, w_2|\}\alpha'}(p_1 \parallel p_2) = E_{\alpha'}((D_{\alpha w_1 \alpha'}(p_1) \parallel D_{\alpha w_2 \alpha'}(p_2)) \cup (D_{\alpha w_2 \alpha'}(p_1) \parallel D_{\alpha w_1 \alpha'}(p_2)))$

(the other cases)

- $D_{\alpha w \alpha' w' \alpha''}(p) = (D_{\alpha w \alpha'} \circ D_{\alpha' w' \alpha''})(p)$
- $D_{\alpha w \alpha'}(p_1 + p_2) = D_{\alpha w \alpha'}(p_1) \cup D_{\alpha w \alpha'}(p_2)$
- $D_{\alpha w \alpha'}(p_1 p_2) = D_{\alpha w \alpha'}(p_1)\{p_2\} \cup E_{\alpha}(p_1)D_{\alpha w \alpha'}(p_2)$
- $D_{\alpha w \alpha'}(p_1^*) = D_{\alpha w \alpha'}(p_1)\{p_1^*\}$
- $D_{\alpha w \alpha'}(b) = \emptyset$  for any boolean term  $b$

Where  $E_{\alpha}(p_1) = \begin{cases} \{\mathbf{1}\} & (\alpha \in L(p_1)) \\ \emptyset & (o.w) \end{cases}$ .

# Naive Derivative for CKAT

## theorem

For any gsp string  $w\alpha'$  and CKAT term  $p$ ,  
 $(w\alpha')^{-1}L(p) = \alpha'^{-1}L(D_{w\alpha'}(p))$

- We only check whether  $\{\mathbf{1}\} = E_{\alpha'}(D_{\alpha w\alpha'}(p))$  or not to decide  $w \in L(p)$ .

But, this derivative need too many spaces.

- The enough length of string to decide many language problems is too large. (e.g. In KA,  $2^{p(\text{input size})}$ .)
- We need to memorize  $w_1$  and  $w_2$ (too large!) to calculate  $D_{\alpha\{|w_1, w_2|\}\alpha'}(p)$ .
  - ▶  $D_{\alpha\{|w_1, w_2|\}\alpha'}(p_1 \parallel p_2) = E_{\alpha'}((D_{\alpha w_1\alpha'}(p_1) \parallel D_{\alpha w_2\alpha'}(p_2)) \cup (D_{\alpha w_2\alpha'}(p_1) \parallel D_{\alpha w_1\alpha'}(p_2)))$

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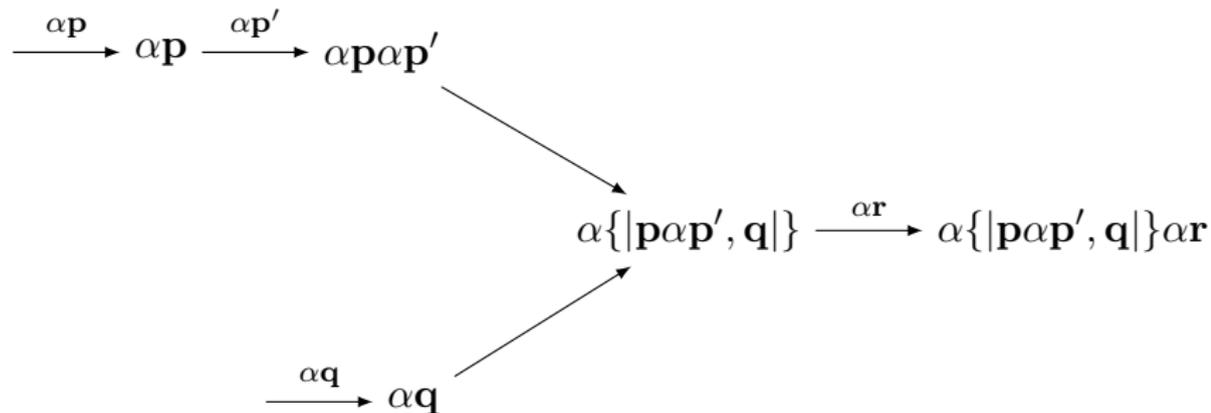
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So, we want to get more efficient derivative not to memorize long strings.

# Outline

For example, when  $w = \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\}\alpha\mathbf{r}\alpha'$

- Naive Derivative



- Memory Efficient Derivative

$$\xrightarrow{\alpha\{\mathbf{p}, \mathbf{q}\}} \alpha\{\mathbf{p}, \mathbf{q}\} \xrightarrow{\alpha_{\mathbf{p}'}} \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\} \xrightarrow{\alpha_{\mathbf{r}}} \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\}\alpha_{\mathbf{r}}$$

# Outline

## Memory Efficient Derivative

$$\alpha\{\mathbf{p}, \mathbf{q}\} \xrightarrow{\alpha\mathbf{p}'} \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\} \xrightarrow{\alpha\mathbf{q}} \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\alpha\mathbf{q}'\}$$

- We can forget the gray part to calculate.

But, this derivative has no uniqueness. When  $\alpha\mathbf{p}'$  is inputed, we cannot decide whether

- $\alpha\{\mathbf{p}, \mathbf{q}\} \xrightarrow{\alpha\mathbf{p}'} \alpha\{\mathbf{p}\alpha\mathbf{p}', \mathbf{q}\}$  or
- $\alpha\{\mathbf{p}, \mathbf{q}\} \xrightarrow{\alpha\mathbf{p}'} \alpha\{\mathbf{p}, \mathbf{q}\alpha\mathbf{p}'\}$

To distinguish them, we introduce *derivative variables*.

- $\alpha\{\mathbf{p}x, \mathbf{q}y\} \xrightarrow{x+=\alpha\mathbf{p}'} \alpha\{\mathbf{p}\alpha\mathbf{p}'x, \mathbf{q}y\}$
- $\alpha\{\mathbf{p}x, \mathbf{q}y\} \xrightarrow{y+=\alpha\mathbf{p}'} \alpha\{\mathbf{p}x, \mathbf{q}\alpha\mathbf{p}'y\}$

# Memory Efficient Derivative

## Memory Efficient Derivative

$$x \xrightarrow{x += \alpha\{|\mathbf{p}y, \mathbf{q}z|\}} \alpha\{|\mathbf{p}y, \mathbf{q}z|\}x$$

$$y += \alpha\mathbf{p}'$$

$$\alpha\{|\mathbf{p}\alpha\mathbf{p}'y, \mathbf{q}z|\}x \xrightarrow{x += \alpha\mathbf{r}} \alpha\{|\mathbf{p}\alpha\mathbf{p}', \mathbf{q}|\}\alpha\mathbf{r}x$$

To express derivative variables, we expand CKAT terms to *intermediate* CKAT terms to add  $D_x(p)$ . For example, when  $p = (\mathbf{p}\mathbf{p}' \parallel \mathbf{q}); \mathbf{r}$ ,

$$D_x((\mathbf{p}\mathbf{p}' \parallel \mathbf{q}); \mathbf{r}) \xrightarrow{x += \alpha\{|\mathbf{p}y, \mathbf{q}z|\}} D_x((D_y(\mathbf{p}') \parallel D_z(\mathbf{1})); \mathbf{r})$$

$$y += \alpha\mathbf{p}'$$

$$D_x((D_y(\mathbf{1}) \parallel D_z(\mathbf{1})); \mathbf{r}) \xrightarrow{x += \alpha\mathbf{r}} D_x(\mathbf{1})$$

## Intermediate CKAT term and Memory Efficient Derivative

We introduce the new derivative functions  $D_{x+=\alpha\mathcal{T}}$  for Intermediate CKAT terms. ( $\mathcal{T} := \mathbf{p} \mid \{|\mathcal{T}_1x_1, \mathcal{T}_2x_2|\}$ )

definition (Memory Efficient Derivative  $D_{x+=\alpha\mathcal{T}}$ )

- $E_\alpha(D_x(p)) = E_\alpha(p)$
- $D_{x+=\alpha\mathcal{T}}(D_x(p)) = D_x(\text{join}_\alpha \circ D_{\alpha\mathcal{T}}(p))$
- $\text{join}_\alpha(D_x(p)) = E_\alpha(p)$
- $D_{\alpha\{|\mathcal{T}_1x_1, \mathcal{T}_2x_2|\}}(p_1 \parallel p_2) = D_{x_1}(D_{\alpha\mathcal{T}_1}(p_1)) \parallel D_{x_2}(D_{\alpha\mathcal{T}_2}(p_2)) \cup D_{x_2}(D_{\alpha\mathcal{T}_2}(p_1)) \parallel D_{x_1}(D_{\alpha\mathcal{T}_1}(p_2))$

In the other cases of the above definitions, they take no actions. (More precisely, it means as follows)

- $D_{x+=\alpha\mathcal{T}}(p + q) = D_{x+=\alpha\mathcal{T}}(p) + D_{x+=\alpha\mathcal{T}}(q)$
- $D_{x+=\alpha\mathcal{T}}(D_y(p)) = D_y(D_{x+=\alpha\mathcal{T}}(p))$  for  $y \neq x$
- $D_{x+=\alpha\mathcal{T}}(\mathbf{p}) = \mathbf{p}$  for any basic test  $\mathbf{p}$
- ...

# Naive Derivative and Memory Efficient Derivative

## theorem

$$D_{w\alpha'} \circ E_{\alpha'}(p) = D_{x+=w} \circ E_{\alpha'}(D_x(p))$$

- Naive Derivative can be replaced to Memory Efficient Derivative.

We next consider the computational complexity of Memory Efficient Derivative.

After this, in particular, we consider the equivalence problem of CKAT.

# Outline of the computational complexity

- The intermediate CKAT terms by memory efficient derivative are in a *closure*.
- The *closure* size is bounded.
- When two CKAT terms are not equivalent, there exists a gsp string(witness) whose *intersection width* is less than the max intersection width of them.

Note that intersection width of CKAT term  $p$   $iw(p)$  and Intersection width of gsp strings  $w$   $iw(w)$  are defined, respectively.

## examples

- $iw((((p \parallel q) \parallel r)(p \parallel q))) = 3$
- $iw((T\{a, b\}Tc)) = 2$

# Closure

We define the closure  $Cl_X(p)$ , where  $p$  is a intermediate CKAT term and  $X$  is a set of derivative variables, as follows,

## definition (Closure $Cl_X(p)$ )

- $Cl_X(a) = \{a\}$  for  $a = \mathbf{0} \mid \mathbf{1} \mid \mathbf{t}$
- $Cl_X(\bar{b}) = \{\bar{b}\} \cup Cl_X(b)$  for any boolean term  $b$
- $Cl_X(\mathbf{p}) = \{\mathbf{p}, \mathbf{1}\}$
- $Cl_X(q_1 + q_2) = \{q_1 + q_2\} \cup Cl_X(q_1) \cup Cl_X(q_2)$
- $Cl_X(q_1 q_2) = \{q_1 q_2\} \cup Cl_X(q_1) \{q_2\} \cup Cl_X(q_2)$
- $Cl_X(q_1^*) = \{q_1^*\} \cup Cl_X(q_1) \{q_1^*\}$
- $Cl_X(q_1 \parallel q_2) = \{q_1 \parallel q_2\} \cup \{D_{x_1}(q'_1) \parallel D_{x_2}(q'_2) \mid (q'_1 \in Cl_X(q_1), q'_2 \in Cl_X(q_2)) \text{ or } (q'_1 \in Cl_X(q_2), q'_2 \in Cl_X(q_1)), x_1, x_2 \in X\}$
- $Cl_X(D_x(q_1)) = \{D_x(q_1)\} \cup D_x(Cl_X(q_1))$

# Closure

## theorem

If any derivative variables occurred in  $q$  are in  $X$ ,

$$D_{x_+ = \alpha} \mathcal{T}(q) \subseteq Cl_X(q)$$

Because  $Cl_X$  is a closed operator,

$$D_{x_1 + = \alpha_1} \mathcal{T}_1 \circ \cdots \circ D_{x_n + = \alpha_n} \mathcal{T}_n(q) \subseteq Cl_X(q)$$

## theorem

$$|Cl_X(q)| \leq 2 * |q|^{iw(q)} * |X|^{2 * iw(q)}$$

where  $iw(q)$  is the intersection width of  $q$ .

# Intersection width is bounded

## theorem

if  $iw(w) > iw(q)$ ,  $D_{x+=w}(q) = \emptyset$

- if  $iw(w) > \max(iw(p), iw(q))$ , then  $iw(p) = iw(q) = \emptyset$ .
  - ▶ We only consider the case of  $iw(w) \leq \max(iw(p), iw(q))$ .
- Let  $IW = \max(iw(p), iw(q))$ . Each intermediate CKAT term whose  $iw$  is less than  $IW$  has at most  $2 * IW - 1$  derivative variables.
  - ▶ We can assume  $|X| \leq 2 * IW - 1$ .
  - ▶  $|Cl_X(q)| \leq 2 * |q|^{iw(q)} * |X|^{2 * iw(q)} \leq 2 * |q|^{IW} * (2 * IW - 1)^{2 * IW}$
  - ▶  $|Cl_X(p)|, |Cl_X(q)| \leq 2 * l^l * (2 * l - 1)^{2 * l}$ , where  $l$  is  $|p| + |q|$ .
  - ▶ Therefore, the closure size is  $O(2^{p(l)})$ , where  $p$  is a polynomial function of  $l$ .

# The equivalence problem of CKAT

## theorem

The equivalence problem of CKAT is in EXPSPACE.

(Outline of EXPSPACE algorithm)

- We nondeterministically select the syntax of  $x += \alpha\mathcal{T}$  and rewrite  $p$  and  $q$  to  $D_{x+=\alpha\mathcal{T}}(p)$  and  $D_{x+=\alpha\mathcal{T}}(q)$ , respectively.
  - ▶ We are enough to select  $\mathcal{T}$  s.t.  $iw(\mathcal{T}) \leq IW$ .
  - ▶ By Savitch's theorem[Savitch 1970], EXPSPACE = NEXPSPACE.
- During execution, if we find the case of  $E_{\alpha'}(p) \neq E_{\alpha'}(q)$ , then  $p$  and  $q$  is not equivalent.
  - ▶ The loop count of this algorithm is finite because the pattern of  $(p, q)$  is at most  $2^{|Cl_X(p)|} * 2^{|Cl_X(q)|} = O(2^{2^{p(l)}})$ , where  $p$  is a polynomial function of  $l$ .
  - ▶ We only memorize  $p$  and  $q$  and the step count. these are enough to prepare exponential spaces because  $|Cl_X(p)| = O(2^{p(l)})$  and  $|Cl_X(q)| = O(2^{p(l)})$ .

# Fixed Parameter

## theorem

The equivalence problem of CKAT is in EXPSPACE.

## corollary

If the maximum of the intersection width is a fixed parameter, the equivalence problem of CKAT is PSPACE-complete.

(PSPACE-hardness is derived by [Hunt III 1973].)

# Concluding Remarks

- concluding summary
  - ▶ We have given the derivative for CKAT.
  - ▶ We have shown that the equivalence problem of CKAT is in EXPSPACE.
- Future works
  - ▶ Is this equivalence problem EXPSPACE-complete?
  - ▶ If we allow  $\epsilon$  (for example,  $\alpha\{|\mathbf{p}, \epsilon|\}\alpha$ ), can we give efficient derivative? (It become a little difficult because we have to memorize  $\alpha$  in the case of  $x += \alpha\{|\mathbf{p}_1 x_1, \epsilon|\}$ . We should give another derivative to show the result like the corollary of PSPACE.)

This is all for my presentation.

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